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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/731,594	12/08/2003	1646	1240	21437 US2		27	7

12/17/03

00151

HOFFMANN-LA ROCHE INC.
 PATENT LAW DEPARTMENT
 340 KINGSLAND STREET
 NUTLEY, NJ 07110

CONFIRMATION NO. 3874

FILING RECEIPT



OC000000012102591

Date Mailed: 03/15/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jin-Jun Liu, Warren, NJ; ✓
 Kin-Chun Luk, North Caldwell, NJ; ✓

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/434,969 12/20/2002
 and claims benefit of 60/513,615 10/23/2003

Foreign Applications

If Required, Foreign Filing License Granted: 03/15/2004 ✓

Projected Publication Date: 06/24/2004

Non-Publication Request: No

Early Publication Request: No

Title

Novel dihydropyridinone compounds ✓

PATENT DEPARTMENT

MAR 17 2004

Copy Sent to
 Department PLP

14-1

Preliminary Class

530

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number Filing Date First Named Inventor Art Unit Examiner Name	10/731,594 December 9, 2003 Jin-Jun Liu et al. 1646 Thomas C. McKenzie
Total Number of Pages in This Submission	Attorney Docket Number
21437 US2	

ENCLOSURES <i>(Check all that apply)</i>		
<input type="checkbox"/> Fee Transmittal Form <div style="margin-left: 20px;"><input type="checkbox"/> Fee Attached</div> <input type="checkbox"/> Amendment/Reply <div style="margin-left: 20px;"><input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)</div> <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <div style="margin-left: 20px;"><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53</div>	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <div style="margin-left: 20px;"><input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address</div> <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): _____
Remarks		
REQUEST FOR CORRECTED FILING RECEIPT with Documents		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Bernard Lau
Signature	
Date	July 22, 2004

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<p>I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.</p>	
Typed or printed name	Bernard Lau
Signature	
Date	July 22, 2004

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Inventors: Jin-Jun Liu et al.

Group: 1646

Serial No. 10/731,594, filed December 9, 2003

Examiner: Thomas C. McKenzie

For: NOVEL DIHYDROPYRIDINONE COMPOUNDS

REQUEST FOR CORRECTED FILING RECEIPT

Nutley, New Jersey 07110
July 22, 2004

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants respectfully request a corrected filing receipt for the captioned case correcting the filing date as shown on the enclosed receipt copy.

In reviewing the enclosed Filing Receipt, it was noted that the Filing Date is incorrect. Although the enclosed Express Mail Postal Receipt indicated that the present application was mailed on December 8, 2003, in fact, applicants mailed the present application on December 9, 2003. It appears that the U.S. Postal Services inadvertently wrote the date of December 8, 2003 rather than the correct date of December 9, 2003, the date in which the application was delivered to the U.S. Post Office, on the Express Mail Postal Receipt.

As support for December 9, 2003 as the correct filing date, applicants submit the following documents that are on file at the USPTO:

1. Returned receipt postcard showing date mailed as December 9, 2003;
2. Certification under 37 C.F.R. 1.10 from Marta Swaryczewsky that the application in the Express Mail Label EL912163425US, used for filing the present application, was deposited with the U.S. Postal Service on December 9, 2003;
3. Utility Patent Application Transmittal with signed by the undersigned on December 9, 2003; and
4. Fee sheet dated December 9, 2003.

Serial No. 10/731,594
Filed: December 9, 2003

Applicants respectfully request that the USPTO issue a new filing receipt reflecting the correct filing date of December 9, 2003.

Respectfully submitted,



Attorney for Applicants
Bernard Lau
(Reg. No. 38,218)
340 Kingsland Street
Nutley, NJ 07110
Telephone (973) 235-4387
Telefax: (973) 235-2363

144384
Docket CD 21437



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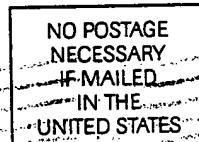
Express Mail No. EL912163425US
Date Mailed: December 9, 2003
Docket No. 21437 US2 Ser. No. 107731594 Filed: 22390 U.S. PTO
Jin-Jun Liu and Kin-Chun Luk
NOVEL DIHYDROPYRIDINONE COMPOUNDS
120803

Affidavit/Declaration Fee Sheet
 Amendment Final Info Discl. State Suppl.
 Application 71 pages w/refs. w/o refs.
 27 Claims Drawings Letter/Response
 Application Data Sheet Petition to Extend Time
 Appeal, Notice of Power of Attorney
 Brief (in triplicate) Sequence List w/Computer
 Declaration Readable & Paper Copies
 Disclaimer Status Letter
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 Sheets Figures
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Ref. No. 21437 US2

FU 12/19/03

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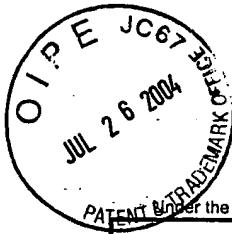
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on December 9, 2003.

Date

Marta Swaryczewsky
Signature

Marta Swaryczewsky

Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Papers Submitted for Case Docket 21437 US2: Patent Application Transmittal Form, Application Data Sheet, Fee Transmittal Form, Specification, Declaration, Information Disclosure Statement with PTO Form 1449 and References

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	21437 US2
First Inventor	Jin-Jun Liu et al.
Title	Novel ...
Express Mail Label No.	EL912163425US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages **71**]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Sheets **3**]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting Inventor(s)
name in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

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7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Reader Form (CRF)
 - b. **Specification Sequence Listing on:**
 - i. CD-ROM or CD-R (2 copies); or
 - ii. Paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of
(when there is an assignee) Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS
Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.:

Prior application Information: Examiner _____ Art Unit: _____
For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

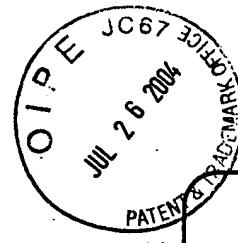
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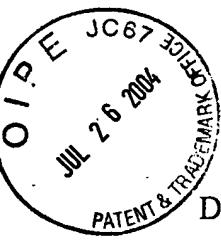
Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Bernard Lau	Registration No. (Attorney/Agent)	38218
Signature	<i>Bernard Lau</i>	Date	12/09/2003

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Hoffmann-La Roche Inc.
340 Kingsland Street
Nutley, NJ 07110
Case Docket 21437 US2
December 9, 2003

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the patent application of

Inventor(s): Jin-Jun Liu and Kin-Chun Luk

For: **NOVEL DIHYDROPYRIDINONE COMPOUNDS**

1. The fee has been calculated as shown below:

CLAIMS				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
TOTAL CLAIMS	27 - 20	7	x \$18	126
INDEP. CLAIMS	7 - 3	4	x \$86	344
MULTIPLE DEPENDENT CLAIMS PRESENTED				+ \$290
BASIC FEE				\$ 770
				TOTAL <u>\$1240</u>

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George W. Johnston
Hoffmann-La Roche Inc.
340 Kingsland Street
Nutley, NJ 07110

Attorney for Applicant(s)
Bernard Lau
(Reg. No. 38218)

137158

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